PTO-1390 (Rev. 12-2004)
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| TRANSMITTAL LETTER TO THE UNIT | ATTORNEY'S DOCKET NUMBER | | | | | | | | | |
|--|---|--|--|--|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (D | 2114-0113PUS1 | | | | | | | | | |
| CONCERNING A SUBMISSION UNDER | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | | | | |
| • T T | AL FILING DATE July 2003 | PRIORITY DATE CLAIMED | | | | | | | | |
| | 12 July 2002 | | | | | | | | | |
| TITLE OF INVENTION TABLET COMPOSITION CONTAINING KAMPO MEDICINAL EXTRACT AND ITS MANUFACTURING PROCESS | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Kazuhiro MATSUMOTO; Hideyuki MARUYAMA; Yoshihiko NAGANO; Masayuki ISHIMARU | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| 1. x This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | |
| 4. x The US has been elected (Article 31). | | | | | | | | | | |
| 5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | | | | | |
| a. x is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| b. has been communicated by the Internationa | b. has been communicated by the International Bureau. | | | | | | | | | |
| c. is not required, as the application was filed in | n the United States Re | ceiving Office (RO/US). | | | | | | | | |
| 6. x An English language translation of the Internation | 6. x An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). | | | | | | | | | |
| a. x is attached hereto. | a. x is attached hereto. | | | | | | | | | |
| b. has been previously submitted under 35 U.S | .C. 154(d)(4). | · | | | | | | | | |
| 7. x Amendments to the claims of the International A | 7. x Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) | | | | | | | | | |
| a. are attached hereto (required only if not com | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| b. have been communicated by the Internation | b. have been communicated by the International Bureau. | | | | | | | | | |
| c. have not been made; however, the time limit | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | |
| d. x have not been made and will not be made. | | | | | | | | | | |
| 8. An English language translation of the amendment | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | | | |
| 9. An oath or declaration of the inventor(s) (35 U.S | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | | | | | |
| 10. An English language translation of the annexes Article 36 (35 U.S.C. 371 (c)(5)). | to the International Pre | eliminary Examination Report under PCT | | | | | | | | |
| Items 11 to 20 below concern document(s) or infor | mation included: | | | | | | | | | |
| 11. x An Information Disclosure Statement under 37 C | FR 1.97 and 1.98. | | | | | | | | | |
| 12. An assignment document for recording. A separate | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | |
| 13. x A preliminary amendment. | | | | | | | | | | |
| 14. x An Application Data Sheet-under 37 CFR 1.76. | | | | | | | | | | |
| 15. A substitute specification. | A substitute specification. | | | | | | | | | |
| 16. A power of attorney and/or change of address le | tter. | | | | | | | | | |
| 7. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | | | |
| A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 19. A second copy of the English language translation | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. x Other items or information: PCT/IPEA/409; PCT/ISA/210; Drawings - Four (4) Sheets | | | | | | | | | | |
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| U.S. APPLICATION | S. APPLICATION NO. (if known—see 37 CFR 1.5) NEW 2 16 17 16 PCT/JP2003/008763 | | | ATTORNEY'S DOCKET NUMBER 2114-0113PUS1 | | | | | |
|---|---|-------------------------|---|--|-------------|--------------|-------------|-----------------|-------------|
| 21. The following fees are submitted: | | | | | | Appli | cant Use | Office Use Only | |
| x a) Basic national fee\$300.00 | | | | | | \$ | 300.00 | | |
| x b) Examination fee\$200.00 | | | | | | \$ | 200.00 | | |
| x c) Search fee\$500.00 | | | | | | \$ | 500.00 | | |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | | | | | \$ | 1,000.00 | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | |
| Total Sheets | Extra sheets | | Number of each additional 50 or fraction thereof (round up to a whole number RATI | | | | | | |
| 26 - 100 = | /50 = | | | | | x \$250.00 | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). | | | | | | | \$ | 130.00 | |
| CLAIMS | | MBER FILED NUMBER EXTRA | | | R | ATE | | | |
| Total claim | | 20 = | | | x | | \$ | | |
| Independent cla | | | | * | | \$ \$ | | | |
| WOLTIFEE DEF | PENDENT CLAIM(S) | (п аррпс | | TAL OF ABOVE | | ATIONS = | \$ | 1,130.00 | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above | | | | | | | \$ | .,,,,,,,,, | |
| are reduced by 1/2. SUBTOTAL = | | | | | \$ | 1,130.00 | | | |
| _ | Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). | | | | | | | | |
| | | | | | | | | 1,130.00 | |
| | Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | | | | |
| TOTAL FEES ENCLOSED = \$ 1,130.00 | | | | | | | | | |
| Amount to be refunded: | | | | | | | | \$ | |
| Amount to be charged: \$ | | | | | | | | | \$ |
| a. X A ch | eck in the amount o | f \$ | 1 | ,130.00 | to cover th | e above fees | is end | osed. | |
| · | se charge my Depo over the above fees. | | | | | | of \$ _ | | |
| c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any | | | | | | | | | |
| overpayment to Deposit Account No. 02-2448 . A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: SIGNATURE: Raymond C. Stewart | | | | | | | | | |
| CUSTOMER NUMBER: 02292 NAME | | | | | | | <u></u> | | |
| January 12, 2005 | | | | | | 21,06 | | ~ | |
| /clb | | | | | REGI | STRATION N | OMBE | Κ. | |
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